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PATENT 3999002383

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or the below named inventors are the original, first and joint inventors (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **CANCER TREATMENT KITS COMPRISING THERAPEUTIC CONJUGATES THAT BIND TO AMINOPHOSPHOLIPIDS**, the Specification of which:

- ☐ is attached hereto.
☒ was filed on **July 12, 1999** as Application Serial No. **09/351,149**.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims.

I acknowledge the duty to disclose to the Patent and Trademark Office all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56.

I hereby claim priority benefits under Title 35, United States Code, § 119 and/or § 365 of any foreign application(s) for patent or inventor's certificate, PCT international application(s), and United States provisional application(s), listed below and have also identified below any foreign application for patent or inventor's certificate, PCT international application, or United States provisional application, having a filing date before that of the application on which priority is claimed:

PRIORITY APPLICATION(S)			Priority Claimed
60/092,589	USA	July 13, 1998	Yes
(Number)	(Country)	(Date Filed)	Yes/No
60/110,600	USA	December 2, 1998	Yes
(Number)	(Country)	(Date Filed)	Yes/No

I hereby claim the benefit under Title 35, United States Code, § 120 and/or § 365 of any United States application(s) and PCT international application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose all information known to me to be material to patentability of the subject matter claimed in this application, as "materiality" is defined in Title 37, Code of Federal Regulations, § 1.56, which becomes available between the filing date of the prior application and the national or PCT international filing date of this application:

N/A

(Application Serial No.)	(Filing Date)	(Status)
(Application Serial No.)	(Filing Date)	(Status)

I hereby direct that all correspondence and telephone calls be addressed to Shelley P.M. Fussey, Williams, Morgan & Amerson, P.C., 7676 Hillmont, Suite 250, Houston, Texas 77040, (713) 934-7000.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name:	Philip	E.	Thorpe
Inventor's Signature:	<i>Philip E. Thorpe</i>		
Country of Citizenship:	United Kingdom	Date:	9/4/01
Residence Address: (street, number, city, state, and/or country)	5311 Nakoma Drive Dallas, Texas 75209		
Post Office Address: (if different from above)			

Inventor's Full Name:	Sophia		Ran
Inventor's Signature:	<i>Sophia Ra.</i>		
Country of Citizenship:	Israel	Date:	9/4/01
Residence Address: (street, number, city, state, and/or country)	5840 Spring Valley Road, #1612 Dallas, Texas 75240		
Post Office Address: (if different from above)			

Inventor's Full Name:	Rolf	A.	Brekken
Inventor's Signature:			
Country of Citizenship:	USA	Date:	
Residence Address: (street, number, city, state, and/or country)	14304 25 th Ave NE Seattle, Washington 98125		
Post Office Address: (if different from above)			

I hereby direct that all correspondence and telephone calls be addressed to Shelley P.M. Fussey, Williams, Morgan & Amerson, P.C., 7676 Hillmont, Suite 250, Houston, Texas 77040, (713) 934-7000.

I hereby declare that all statements made of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Inventor's Full Name:	Philip	E.	Thorpe
Inventor's Signature:			
Country of Citizenship:	United Kingdom	Date:	
Residence Address: (street, number, city, state, and/or country)	5311 Nakoma Drive Dallas, Texas 75209		
Post Office Address: (if different from above)			

Inventor's Full Name:	Sophia		Ran
Inventor's Signature:			
Country of Citizenship:	Israel	Date:	
Residence Address: (street, number, city, state, and/or country)	5840 Spring Valley Road, #1612 Dallas, Texas 75240		
Post Office Address: (if different from above)			

Inventor's Full Name:	Rolf	A.	Brekken
Inventor's Signature:	<i>Rolf Brekken</i>		
Country of Citizenship:	USA	Date:	9/5/01
Residence Address: (street, number, city, state, and/or country)	14304 25 th Ave NE Seattle, Washington 98125		
Post Office Address: (if different from above)			



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PATENTS ONLY

Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original document or copy thereof.

1. Name of conveying party(ies):

Rolf A. Brekken

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Date: **September 5, 2001**

2. Name and address of receiving party(ies):

Name: **Board of Regents, The University of Texas System**

Street Address: **201 West 7th Street**

City: **Austin** State: **TX** Zip: **78701**

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s):

09/351,149

B. Patent No.(s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Shelley P.M. Fussey**
Williams, Morgan & Amerson, P.C.
7676 Hillmont, Suite 250

City: **Houston** State: **Texas** Zip: **77040**

6. Total number of applications and patents involved: 1

7. Total fee (37 C.F.R. 3.41) _____ \$ 40.00

☒ Enclosed

☒ Authorized to be charged to deposit account
if check insufficient or inadvertently omitted

8. Deposit account number: **50-0786/3999.002383**
(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Shelley P.M. Fussey

September 12, 2001

Name of Person Signing, Reg. No. 39,458

Signature

Date

Total number of pages including cover sheet, attachments and documents: 2

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents and Trademarks, Box Assignment
Washington, D.C. 20231

CERTIFICATE OF MAILING
37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the date below:

September 12, 2001

Date

Shelley P.M. Fussey

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PATENT
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ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned, does hereby:

SELL, ASSIGN AND TRANSFER to Board of Regents, The University of Texas System (the "Assignee"), having a place of business at 201 West 7th Street, Austin, Texas 78701, the entire right, title and interest for the United States and all foreign countries in and to any and all improvements which are disclosed in the application for United States Letters Patent, Serial No. 09/351,149 which has been filed on July 12, 1999 and is entitled "**Cancer Treatment Kits Comprising Therapeutic Conjugates that Bind to Aminophospholipids**", such application and all divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such application in the United States;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such improvements;

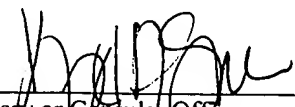
TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: 
Name: Rolf A. Brekken

Date: 9/6/01

STATE OF WASHINGTON)
COUNTY OF King) ss.

BEFORE ME, the undersigned authority, on this 5 day of September, 2001, personally appeared Rolf A. Brekken, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.


Notary or Consular Officer

[SEAL]